Stackelberg Planning	Solving	Symbolic Leader Search	Net-Benefit	Results	Conclusions

#### Álvaro Torralba, Patrick Speicher, Robert Künnemann, Marcel Steinmetz, Jörg Hoffmann



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Outline					

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# Stackelberg Planning

- 2 Solving Stackelberg Tasks: Previous Work
- Symbolic Leader Search
- 4 Net-Benefit Stackelberg Planning
- 5 Empirical Results

# 6 Conclusions

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Classical Pla	annina				

• Optimal Planning: Find a minimum-cost plan to the goal



Stackelberg Planning o●ooooo	Solving 0000	Symbolic Leader Search	Net-Benefit 0000	Results 0000000	Conclusions
<b>Classical Pla</b>	nning				

• Optimal Planning: Find a minimum-cost plan to the goal



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<b>Classical Pla</b>	nning				

• Optimal Planning: Find a minimum-cost plan to the goal



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Classical Pla	anning				

**Definition.** A planning task is a 4-tuple  $\Pi = (\mathcal{V}, \mathcal{A}, \mathcal{I}, \mathcal{G})$  where:

 $\Xi$ 

- $\mathcal{V}$  is a set of state variables, each  $v \in \mathcal{V}$  with a finite domain  $D_v$ .
- A is a set of actions; each a ∈ A is a triple (pre<sub>a</sub>, eff<sub>a</sub>, c<sub>a</sub>), of precondition and effect (partial assignments), and the action's cost c<sub>a</sub> ∈ ℝ<sub>0</sub><sup>+</sup>.
- Initial state I (complete assignment), goal G (partial assignment).
- $\rightarrow$  Solution ("Plan"): Action sequence mapping  $\mathcal{I}$  into *s* s.t. *s*  $\models \mathcal{G}$ .

#### Running Example:

- $\mathcal{V} = \{c\}$ with  $D_c = \{A, B, C, D, E, F, G, H, I\}.$
- $\mathcal{A} = \{ drive(x, x') \}$
- $\mathcal{I} = \{ c \mapsto I \}$  and  $\mathcal{G} = \{ c \mapsto G \}$





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Stackelberg	ı Planniı	าต			

- Leader acts first executing a sequence of actions
- Afterwards, the follower plans to achieve its goal



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Stackelberg Planning ooo●ooo	Solving 0000	Symbolic Leader Search	Net-Benefit 0000	Results 0000000	Conclusions
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Stackelberg Planning	Solving 0000	Symbolic Leader Search	Net-Benefit 0000	Results 0000000	Conclusions
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Stackelberg Planning ooo●ooo	Solving 0000	Symbolic Leader Search	Net-Benefit 0000	Results 0000000	Conclusions
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Stackelberg	Planni	na			

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Stackelberg Planning	Solving	Symbolic Leader Search	Net-Benefit 0000	Results 0000000	Conclusions
Applications					

# **Robustness Analysis**



#### Pentesting



- Follower: How to achieve the system's goal
- Leader: What could go wrong
- Follower: Attack the network to access sensible information
- Leader: How to defend the network

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# Stackelberg Planning

**Definition.** A Stackelberg planning task is a 4-tuple  $\Pi = (\mathcal{V}, \mathcal{A}^L, \mathcal{A}^F, \mathcal{I}, \mathcal{G})$  where:

- *V* is a set of state variables, each  $v \in V$  with a finite domain  $D_v$ .
- A<sup>L</sup>, A<sup>F</sup> are sets of actions; each a ∈ A<sup>L</sup> ∪ A<sup>F</sup> is a triple (pre<sub>a</sub>, eff<sub>a</sub>, c<sub>a</sub>), of precondition and effect (partial assignments), and the action's cost c<sub>a</sub> ∈ ℝ<sup>+</sup><sub>0</sub>.
- Initial state I (complete assignment), goal G (partial assignment).

Stackelberg Planning oooooeo	Solving 0000	Symbolic Leader Search	Net-Benefit	Results 0000000	Conclusions

# Stackelberg Planning

**Definition.** A Stackelberg planning task is a 4-tuple  $\Pi = (\mathcal{V}, \mathcal{A}^L, \mathcal{A}^F, \mathcal{I}, \mathcal{G})$  where:

- V is a set of state variables, each  $v \in V$  with a finite domain  $D_v$ .
- A<sup>L</sup>, A<sup>F</sup> are sets of actions; each a ∈ A<sup>L</sup> ∪ A<sup>F</sup> is a triple (pre<sub>a</sub>, eff<sub>a</sub>, c<sub>a</sub>), of precondition and effect (partial assignments), and the action's cost c<sub>a</sub> ∈ ℝ<sup>+</sup><sub>0</sub>.
- Initial state I (complete assignment), goal G (partial assignment).

#### **Running Example:**

• 
$$\mathcal{V} = \{c, w, r_{AG}, r_{FG}, r_{DE}\}, D_c = D_w = \{A, \dots, I\}, D_{r_{ij}} = \{available, blocked\}.$$

• 
$$\mathcal{A}^F = \{ drive_c(x, x') \},\$$
  
 $\mathcal{A}^L = \{ walk(x, x'), block(x, x') \}$ 

•  $\mathcal{I} = \{ c \mapsto I, w \mapsto A, r_{ij} \mapsto available \}$ 

• 
$$\mathcal{G} = \{ c \mapsto G \}$$

Faster Stackelberg Planning via Symbolic Search and Information Sharing



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Stackelberg Planning	Solving 0000	Symbolic Leader Search	Net-Benefit	Results 0000000	Conclusions

 $\rightarrow$ Find a set of leader plans that minimize leader cost and maximize follower's cost



Stackelberg Planning	Solving	Symbolic Leader Search	Net-Benefit	Results	Conclusions
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 $\rightarrow$  Find a set of leader plans that minimize leader cost and maximize follower's cost



Leader Plan	c(L)	c	:(F)
$\langle \rangle$	0		5
$\langle block(A,G) \rangle$	1	1	16

Stackelberg Planning	Solving	Symbolic Leader Search	Net-Benefit	Results	Conclusions
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 $\rightarrow$  Find a set of leader plans that minimize leader cost and maximize follower's cost



Leader Plan	c(L)	c(F)
$\langle \rangle$	0	5
$\langle block(A,G) \rangle$	1	16
$\langle walk(A,C), walk(C,D), block(D,E) \rangle$	3	5

Stackelberg Planning	Solving	Symbolic Leader Search	Net-Benefit	Results	Conclusions
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 $\rightarrow$  Find a set of leader plans that minimize leader cost and maximize follower's cost



Leader Plan	c(L)	c(F)
$\langle \rangle$	0	5
$\langle block(A,G) \rangle$	1	16
$\langle walk(A,C), walk(C,D), block(D,E)\rangle$	3	5
$\langle walk(A,G), block(G,A), block(G,F)\rangle$	3	$\infty$

Stackelberg Planning	Solving	Symbolic Leader Search	Net-Benefit	Results	Conclusions
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 $\rightarrow$  Find a set of leader plans that minimize leader cost and maximize follower's cost



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  - 6) Conclusions

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# Leader Search



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#### Leader Search



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## Leader Search



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# Follower Subtasks



Follower subtask:

 $\bullet$  Classical Planning Task  $\rightarrow set$  of actions depends on blocked roads

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- Optimal planners:
  - A\* with LM-cut
  - Symbolic Bidirectional Search

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## Follower Subtasks



Follower subtask:

 $\bullet$  Classical Planning Task  $\rightarrow set$  of actions depends on blocked roads

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- Optimal planners:
  - A\* with LM-cut
  - Symbolic Bidirectional Search

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Leader Searc	ch (IDS)				



Stackelberg Planning	Solving ○○○●	Symbolic Leader Search	Net-Benefit	Results	Conclusions





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Stackelberg Planning	Solving	Symbolic Leader Search	Net-Benefit	Results	Conclusions





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## Leader Search (IDS)







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Symbolic L	eader S	earch			

**Observation 1**: Leader search is exhaustive  $\rightarrow$  state space *explosion* 



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Stackelberg Planning	Solving	Symbolic Leader Search	Net-Benefit	Results 0000000	Conclusions				
Symbolic Leader Search									

**Observation 1**: Leader search is exhaustive  $\rightarrow$  state space *explosion* 



Stackelberg Planning	Solving 0000	Symbolic Leader Search	Net-Benefit 0000	Results 0000000	Conclusions				
Cost-bounded search									

**Observation 2**: We do not always need to compute optimal solutions to follower sub-tasks

Global bounds:

- Leader cost L: explore leader space in ascending leader cost
- Follower cost *F*: the highest cost of any follower sub-problem so far
- $\rightarrow$ any new entry in the pareto front will have a cost greater than F

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Stackelberg Planning	Solving	Symbolic Leader Search oo●oooo	Net-Benefit 0000	Results 0000000	Conclusions
Cost-bound	ed sear	ch			

**Observation 2**: We do not always need to compute optimal solutions to follower sub-tasks

Global bounds:

- Leader cost L: explore leader space in ascending leader cost
- Follower cost *F*: the highest cost of any follower sub-problem so far

 $\rightarrow$ any new entry in the pareto front will have a cost greater than F

Cost-bounded/Optimal Planning: Given a follower sub-task and a cost bound F, return any plan of cost  $C \le F$  if one exists, otherwise return an optimal plan if one exists, otherwise return "unsolvable".

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Information	Sharing				

# **Observation 3**: We need to find optimal solutions for many follower sub-tasks, but they are very similar

- $\bullet~$  Same set of variables  ${\cal V}$  and goal  ${\cal G}$
- Actions  $A \subseteq \mathcal{A}^F$

 $\rightarrow \mbox{How to re-use}$  information among follower sub-searches?

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# Information Sharing: Goal Regression



Whenever we compute a new plan for some follower sub-task, use regression from the goal:

drive 
$$(I,A)$$
, drive  $(A,G)$ 

$$\{c \mapsto G\} \operatorname{cost} = 0$$

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# Information Sharing: Goal Regression



Whenever we compute a new plan for some follower sub-task, use regression from the goal:

$$\begin{cases} c \mapsto G \} \operatorname{cost} = 0 \\ \text{drive } (I,A), \text{ drive } (A,G) \quad \{c \mapsto A, r_{AG} \mapsto available\} \operatorname{cost} = 3 \end{cases}$$

Stackelberg Planning	Solving	Symbolic Leader Search	Net-Benefit	Results	Conclusions
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# Information Sharing: Goal Regression



Whenever we compute a new plan for some follower sub-task, use regression from the goal:

$$\begin{cases} c \mapsto G \} \cos t = 0 \\ \text{drive } (I,A), \text{ drive } (A,G) \quad \{c \mapsto A, r_{AG} \mapsto available\} \cos t = 3 \\ \{c \mapsto I, r_{AG} \mapsto available\} \cos t = 5 \end{cases}$$

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Solved Follower Tasks



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Stackelberg Planning	Solving	Symbolic Leader Search	Net-Benefit	Results	Conclusior
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L=0 F=5



Solved Follower Tasks  $\{c \mapsto I, r_{AG} \mapsto available\}$ 













Stackelberg Planning	Solving	Symbolic Leader Search	Net-Benefit	Results	Conclusior
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Solved Follower Tasks  $\{c \mapsto I, r_{AG} \mapsto available\}$ 

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Stackelberg Planning	Solving	Symbolic Leader Search	Net-Benefit	Results	Conclusior
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L=1 F=5









L=1 F=5









L=1 F=5







Stackelberg Planning	Solving	Symbolic Leader Search	Net-Benefit	Results	Conclusior
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L=1 F=16

















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Upper Bound Function: pre-store plans for certain follower states

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Upper Bound Function: pre-store plans for certain follower states

How to obtain upper bound functions? From plans:  ${c \mapsto G}$  ub = 0  ${c \mapsto A, r_{AG} \mapsto available}$  ub = 3  ${c \mapsto I, r_{AG} \mapsto available}$  ub = 5

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Upper Bound Function: pre-store plans for certain follower states

How to obtain upper bound functions? From plans:  ${c \mapsto G}$  ub = 0  ${c \mapsto A, r_{AG} \mapsto available}$  ub = 3  ${c \mapsto I, r_{AG} \mapsto available}$  ub = 5

#### How to use upper bound functions?

Transform any search-based optimal subsolver in a cost-bounded algorithm: If some follower state *s* is seen such that  $g(s) + ub(s) \le F$  stop immediately!

Stackelberg Planning	Solving	Symbolic Leader Search	Net-Benefit	Results	Conclusions
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Upper Bound Function: pre-store plans for certain follower states

#### How to obtain upper bound functions?

From plans:  ${c \mapsto G}$  ub = 0  ${c \mapsto A, r_{AG} \mapsto available}$  ub = 3  ${c \mapsto I, r_{AG} \mapsto available}$  ub = 5  ${\overline{AG}, \overline{FG}, \overline{DE}}$ 

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Transform any search-based optimal subsolver in a cost-bounded algorithm: If some follower state *s* is seen such that  $g(s) + ub(s) \le F$  stop immediately!

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Upper Bound Function: pre-store plans for certain follower states

#### How to obtain upper bound functions?

From plans:<br/> $\{c \mapsto G\}$  ub = 0From backward search on the most<br/>constrained follower task ( $\Pi^+$ ): $\{c \mapsto A, r_{AG} \mapsto available\}$  ub = 3<br/> $\{c \mapsto I, r_{AG} \mapsto available\}$  ub = 5G $\{c \mapsto I, r_{AG} \mapsto available\}$  ub = 5 $\{\overline{AG}, \overline{FG}, \overline{DE}\}$ 

#### How to use upper bound functions?

Transform any search-based optimal subsolver in a cost-bounded algorithm: If some follower state *s* is seen such that  $g(s) + ub(s) \le F$  stop immediately!

 $\rightarrow$  In the paper: General conditions under which lower- and upper-bounds can be shared accross follower sub-tasks Faster Stackelberg Planning via Symbolic Search and Information Sharing

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## Conclusions

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Stackelberg Planning	Solving	Symbolic Leader Search	Net-Benefit	Results	Conclusions
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Net-Benefit Stackelberg Planning									

 Soft goals: Each goal has a corresponding cost the follower must pay if it is not achieved

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Net-Benefit Stackelberg Planning								

- Soft goals: Each goal has a corresponding cost the follower must pay if it is not achieved
- Compilation to classical planning by Keyder and Geffner (2009) →No specialized algorithms are required

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Stackelberg Planning	Solving 0000	Symbolic Leader Search	Net-Benefit oo●o	Results 0000000	Conclusions

- Soft goals: Each goal has a corresponding cost the follower must pay if it is not achieved
- Compilation to classical planning by Keyder and Geffner (2009) →No specialized algorithms are required
- In our experiments we set a cost of 10000 for each individual sub-goal
  →follower chooses the cheapest plan among the ones that maximize the
  number of achieved goals

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Experimental	Setup				

Configurations:

- IDS (Speicher et al. 2018)
- Symbolic Leader Search
  - -
  - + *ub*: use upper bound functions from plans
  - $\bullet\,$  + $\Pi^+:$  use upper bound functions from plans and backward search
  - +FF: use cost-bounded planner (GBFS with FF heuristic for 1s)

 $\rightarrow \! \text{Time}$  limit of 30m and memory limit of 4GB.

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Benchmarks					

We use the benchmarks from previous work (Speicher et al. 2018)

Pareto frontier size ( $|PF(\Pi^{S})|$ ):

OLD (Speicher et al. 2018)					
Domain	avg	max			
Logistics	1.85	3			
Mystery	1.59	3			
Rovers	1.86	3			
Sokoban	1.92	2			
Трр	2.00	2			
Visitall	2.32	7			
Pentesting	1.26	2			
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Benchmarks					

We use the benchmarks from previous work (Speicher et al. 2018)

Pareto frontier size ( $|PF(\Pi^{S})|$ ):

OLD (Speicher et al. 2018)			New			Net	
Domain	avg	max	Domain	avg	max	avg	max
Logistics	1.85	3	Logistics	2.61	6	3.83	16
Mystery	1.59	3	Nomystery	2.58	7	3.25	13
Rovers	1.86	3	Rovers	1.85	4	3.21	13
Sokoban	1.92	2	Transport	4.24	17	3.71	9
Трр	2.00	2	Трр	2.15	7	2.35	13
Visitall	2.32	7	Visitall	2.97	7	5.45	34
Pentesting	1.26	2	Pentesting	1.71	4	4.06	13

 $\rightarrow$ New benchmark set for standard and net-benefit planning

Stackelberg Planning	Solving 0000	Symbolic Leader Search	Net-Benefit 0000	Results ooo●ooo	Conclusions
Overall Res	ults				

#### Coverage: Tasks solved under 30 minutes and 4GB

Follower sub-solver		$  IDS \\ \Pi^+  $	SLS —
LMcut	Old (1987) New (1059) Net (1064)	<b>681</b> 681 526	630 <b>708</b> <b>536</b>
Symbolic Bidirectional	Old (1987) New (1059) Net (1064)	584 632 540	621 819 654

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Stackelberg Planning	Solving	Symbolic Leader Search	Net-Benefit 0000	Results ooo●ooo	Conclusions
Overall Res	ults				

#### Coverage: Tasks solved under 30 minutes and 4GB

Follower		IDS	SL	.S
sub-solver		$ \Pi^+$	—	+ub
LMcut	Old (1987)	681	630	634
	New (1059)	681	708	743
	Net (1064)	526	536	574
Symbolic	Old (1987)	584	621	628
Bidirectional	NEW (1059)	632	819	823
	Net (1064)	540	654	671

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## Results: SLS-ub vs IDS



Faster Stackelberg Planning via Symbolic Search and Information Sharing

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## Results: SLS-ub vs IDS



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## Results: SLS-ub vs IDS



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# Results: SLS-ub vs IDS



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Overall Resu	lts				

Coverage Follower	under	' 30 mi	nutes : <b>S</b>	and 4GB		
sub-solver		$\Pi^+$		+ub	+Π <sup>+</sup>	
LMcut	Old (1987)	681	630	634	652	
	NEW (1059)	681	708	743	740	
	Net (1064)	526	536	574	613	
Symbolic	Old (1987)	584	621	628	652	
Bidirectional	NEW (1059)	632	819	823	825	
	Net (1064)	540	654	671	726	

Stackelberg Planning	Solving 0000	Symbolic Leader Search	Net-Benefit 0000	Results ooooooo	Conclusions 00
Overall Resu	lts				

Coverage: Tasks solved under 30 minutes and 4GB							
Follower		IDS		S	LS		
sub-solver		$\Pi^+$		+ub	+ $\Pi^+$	+ FF	
LMcut	Old (1987)	681	630	634	652	651	
	NEW (1059)	681	708	743	740	736	
	Net (1064)	526	536	574	613	619	
Symbolic	Old (1987)	584	621	628	652	652	
Bidirectional	NEW (1059)	632	819	823	825	826	
	Net (1064)	540	654	671	726	720	





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Conclusions					

• Stackelberg planning is an interesting form of adversarial planning for robustness analysis, pentesting, etc.

Stackelberg Planning	Solving 0000	Symbolic Leader Search	Net-Benefit 0000	Results 0000000	Conclusions ○●			
Conclusions								

- Stackelberg planning is an interesting form of adversarial planning for robustness analysis, pentesting, etc.
- Symbolic Leader Search
  - Symbolic search for efficient exhaustive exploration
  - Information sharing across follower sub-problems
  - $\rightarrow$ outperforms the previous state of the art

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Stackelberg Planning	Solving	Symbolic Leader Search	Net-Benefit 0000	Results 0000000	Conclusions ○●		
Conclusions							

- Stackelberg planning is an interesting form of adversarial planning for robustness analysis, pentesting, etc.
- Symbolic Leader Search
  - Symbolic search for efficient exhaustive exploration
  - Information sharing across follower sub-problems
  - $\rightarrow$ outperforms the previous state of the art
- Net-benefit Stackelberg planning
  - Soft goals
  - More fine-grained analysis of how many goals can the follower achieve
  - $\rightarrow \text{increases}$  usefulness of this framework

Stackelberg Planning	Solving	Symbolic Leader Search	Net-Benefit 0000	Results 0000000	Conclusions ○●			
Conclusions								

- Stackelberg planning is an interesting form of adversarial planning for robustness analysis, pentesting, etc.
- Symbolic Leader Search
  - Symbolic search for efficient exhaustive exploration
  - Information sharing across follower sub-problems
  - $\rightarrow$ outperforms the previous state of the art
- Net-benefit Stackelberg planning
  - Soft goals
  - More fine-grained analysis of how many goals can the follower achieve
  - $\rightarrow$ increases usefulness of this framework
- Promising future work:
  - Apply information sharing ideas in other contexts
  - Improved cost-bounded planning algorithms