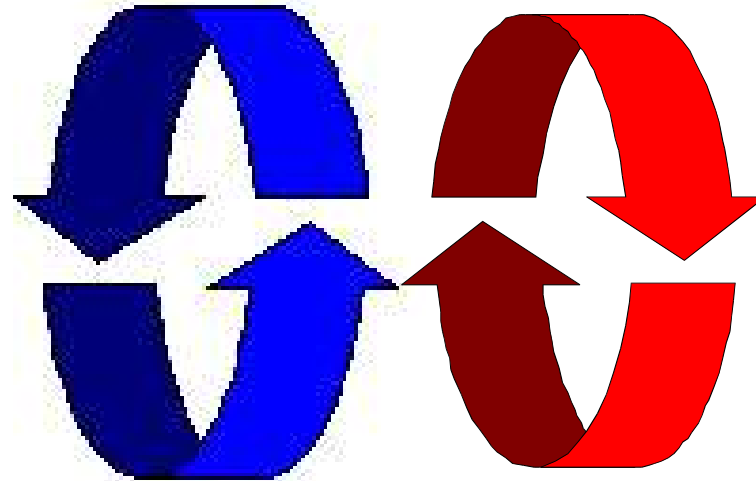


Concurrency

3 – Concurrent Execution



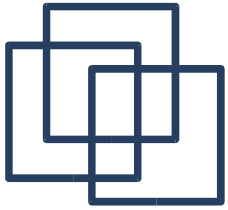
Alexandre David

adavid@cs.aau.dk

Credits for the slides:

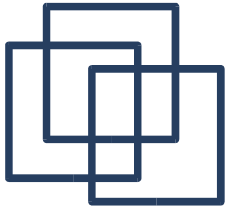
Claus Brabrand

Jeff Magee & Jeff Kramer



Repetition

- **Concepts:** We adopt a model-based approach for the design and construction of concurrent programs.
- **Models:** We use finite state models to represent concurrent behaviour (Finite State Processes and Labelled Transition Systems).
- **Practice:** We use Java for constructing concurrent programs (and later C).



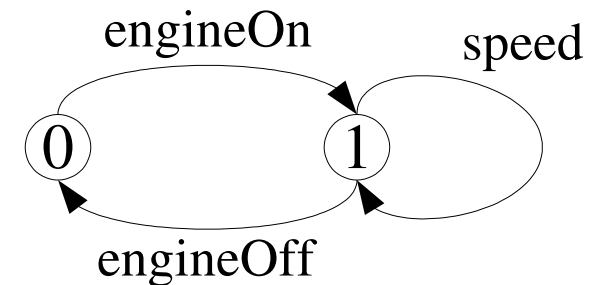
Repetition

Model = simplified representation of the real world.

- Based on Labelled Transition Systems (LTS)

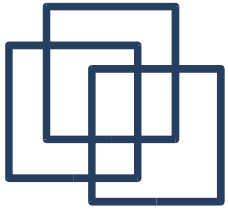
*Focuses on **concurrency** aspects (of the program)
- everything else abstracted away*

*Aka. **Finite State
Machine** (FSM)*



- Described textually as Finite State Processes (FSP)

```
EngineOff = (engineOn-> EngineOn),  
EngineOn  = (engineOff-> EngineOff  
             | speed->EngineOn).
```

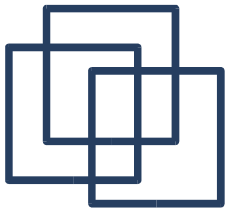


Repetition

➤ Finite State Processes (FSP):

\mathcal{P}	:	<u>STOP</u>	// termination
		$(\chi \rightarrow \mathcal{P})$	// action prefix
		<u>(when</u> (...) $\chi \rightarrow \mathcal{P}$)	// guard
		$\mathcal{P} \mid \mathcal{P}'$	// choice
		$\mathcal{P} + \{ \dots \}$	// alphabet extension
		\mathcal{X}	// process variable

- ◆ *action indexing* $\chi[i:1..\mathcal{N}] \rightarrow \mathcal{P}$ or $\chi[i] \rightarrow \mathcal{P}$
- ◆ *process parameters* $\mathcal{P}[i:1..\mathcal{N}] = \dots$
- ◆ *constant definitions* const $\mathcal{N} = 3$
- ◆ *range definitions* range $\mathcal{R} = 0..\mathcal{N}$



Repetition

➤ Subclassing `java.lang.Thread`:

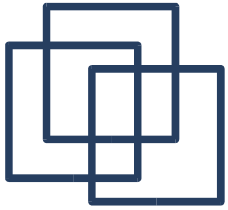
```
class MyThread extends Thread {  
    public void run() {  
        // ...  
    }  
}
```

```
Thread t = new MyThread();  
t.start();  
// ...
```

➤ Implementing `java.lang.Runnable`:

```
class MyRun implements Runnable {  
    public void run() {  
        // ...  
    }  
}
```

```
Thread t = new Thread(new MyRun());  
t.start();  
// ...
```



Concurrent Execution

*Concepts: processes - concurrent execution and interleaving.
process interaction.*

*Models: **parallel composition***

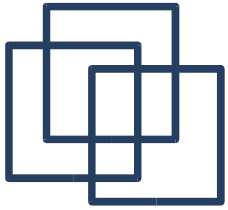
of asynchronous processes - interleaving

interaction

shared actions, process labelling, and action relabelling and hiding

structure diagrams

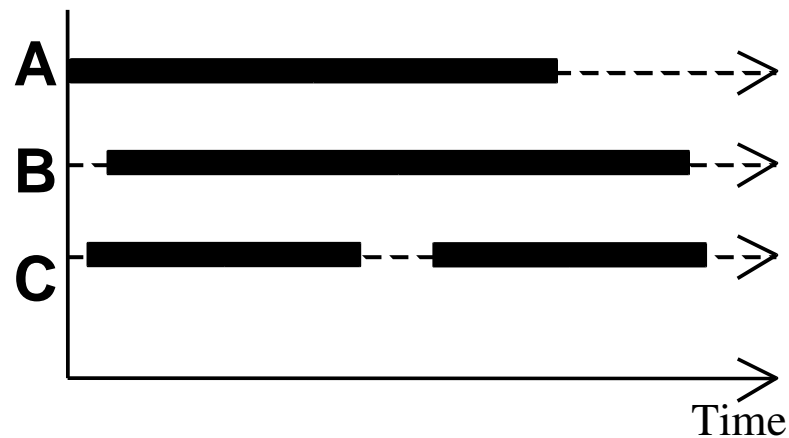
Practice: multi-threaded Java programs

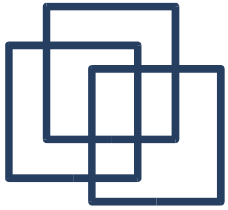


Definition: Parallelism

→ **Parallelism** (*aka. "Real" Concurrent Execution*)

- *Physically* simultaneous processing
- Involves multiple processing elements (PEs)
and/or independent device operations

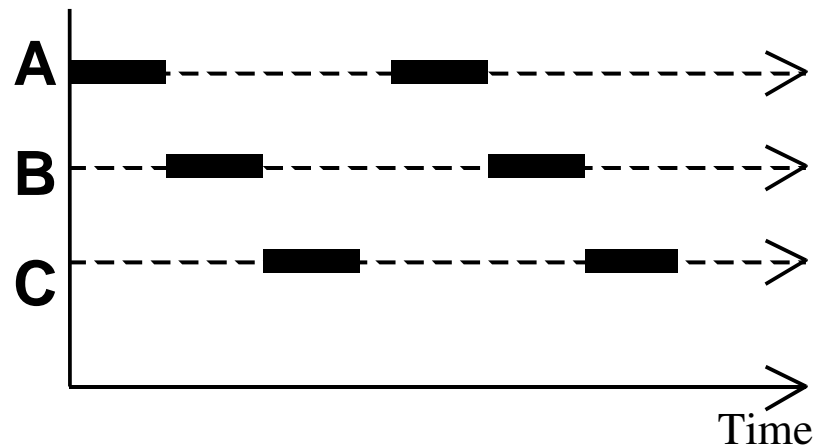


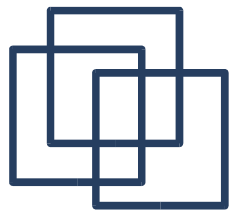


Definition: Concurrency

→ **Concurrency** (*aka. Pseudo-Concurrent Execution*)

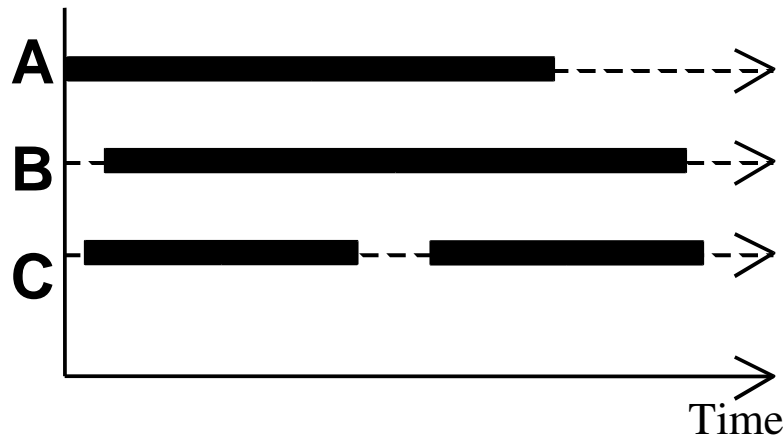
- *Logically* simultaneous processing
- Does not imply multiple processing elements (PEs)
- Requires *interleaved* execution on a single PE



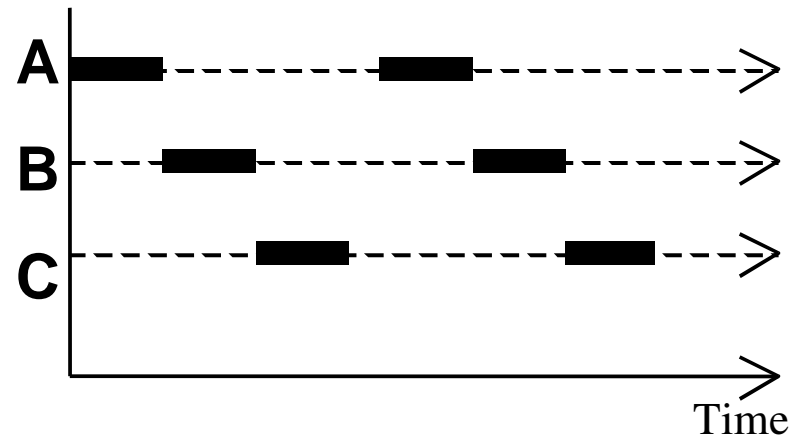


Parallelism vs. Concurrency

* **Parallelism**

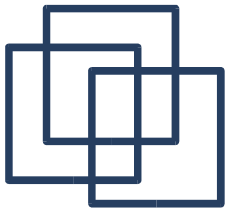


* **Concurrency**



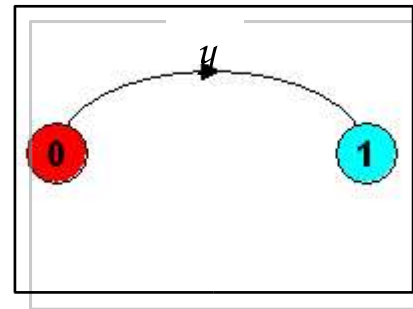
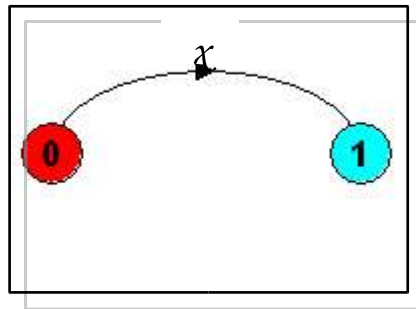
Both **concurrency** and **parallelism** require controlled access to shared resources.

We use the terms parallel and concurrent interchangeably (and generally do not distinguish between real and pseudo-concurrent execution).



Modeling Concurrency

- How do we model concurrency?

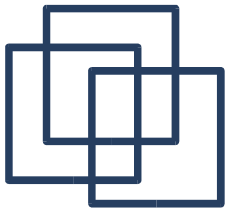


Possible execution sequences?

- $x; y$
- $y; x$
- ~~• $x \parallel y$~~

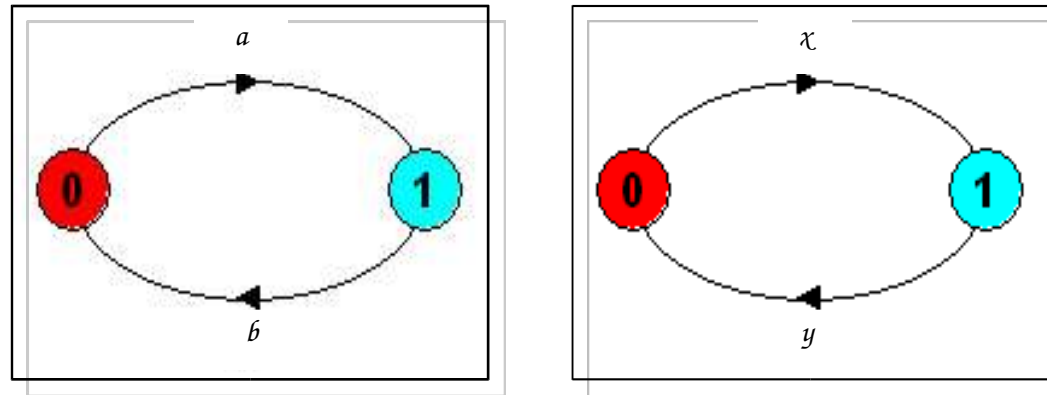
*Asynchronous
model of execution*

- Arbitrary relative order of actions from different processes – *interleaving* but preservation of each process order.



Modeling Concurrency

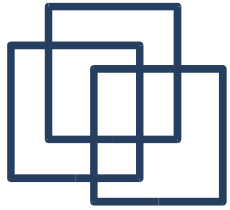
- How should we model process execution speed?



- We abstract away time: arbitrary speed!

-: we can say nothing of real-time properties

*+: independent of architecture, processor speed,
scheduling policies, ...*



Parallel Composition – Action Interleaving

If \mathcal{P} and \mathcal{Q} are processes then $(\mathcal{P} \parallel \mathcal{Q})$ represents the concurrent execution of \mathcal{P} and \mathcal{Q} . The operator ' \parallel ' is the parallel composition operator.

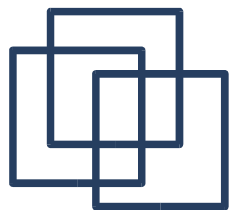
```
ITCH = (scratch->STOP).
```

```
CONVERSE = (think->talk->STOP).
```

```
|| CONVERSE_ITCH = (ITCH || CONVERSE).
```

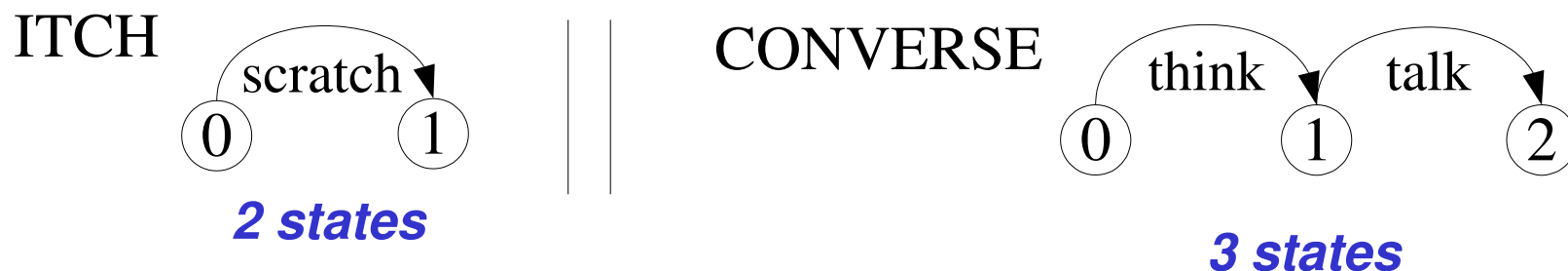
*Possible traces as a result
of action interleaving?*

- **scratch->think->talk**
- **think->scratch->talk**
- **think->talk->scratch**

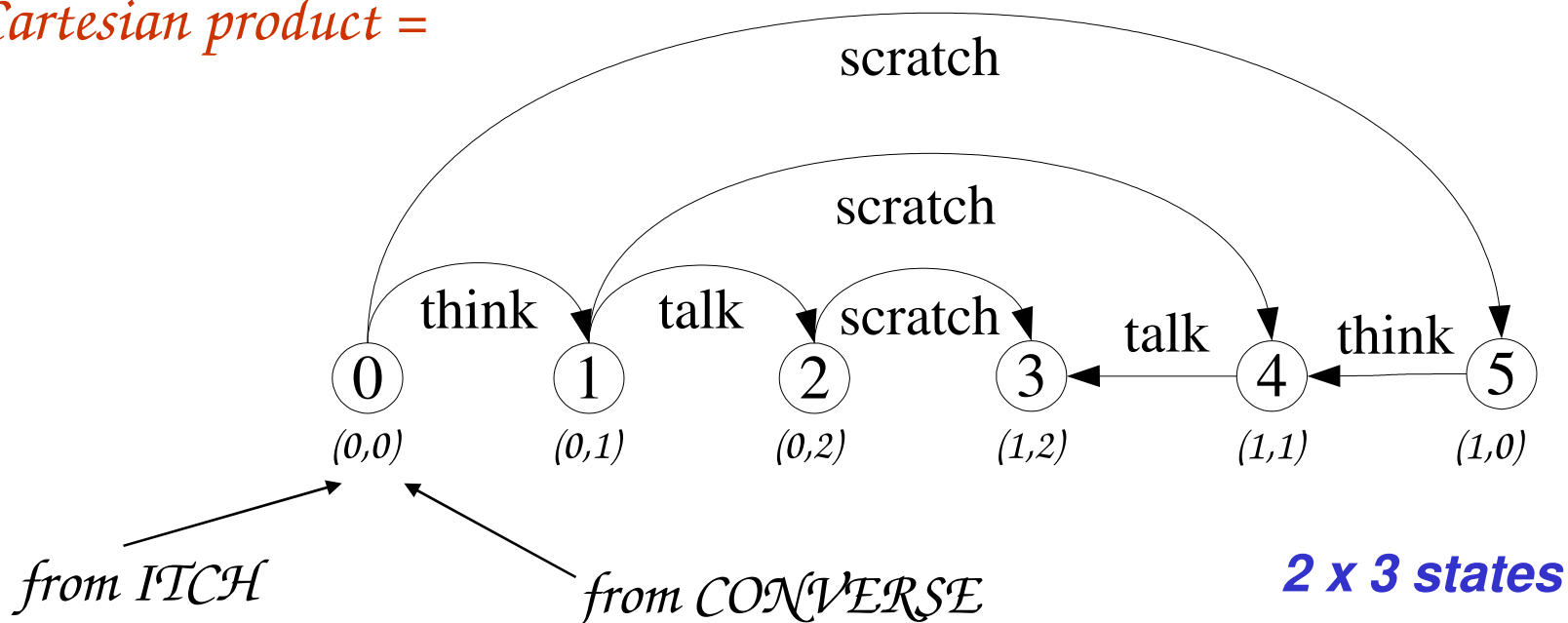


Parallel Composition – Action Interleaving

Parallel composition =



Cartesian product =





Parallel Composition – Algebraic Laws

Commutative: $(P \mid \mid Q) = (Q \mid \mid P)$

Associative: $(P \mid \mid (Q \mid \mid R)) = ((P \mid \mid Q) \mid \mid R)$
 $= (P \mid \mid Q \mid \mid R).$

Clock radio example:

CLOCK = (tick->CLOCK).

RADIO = (on->off->RADIO).

$\mid \mid$ CLOCK_RADIO = (CLOCK $\mid \mid$ RADIO).

LTS? Traces? Number of states?



Modeling Interaction – Shared Action

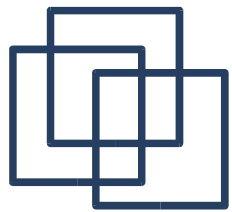
```
MAKE1 = (make->ready->STOP) .  
USE1  = (ready->use->STOP) .  
|| MAKE1_USE1 = (MAKE1 || USE1) .
```

MAKE1
synchronizes with
USE1 *when*
ready.

LTS? Traces? Number of states?

Shared Action:

*If processes in a composition have actions in common, these actions are said to be **shared**. Shared actions are the way that process interaction is modeled. While unshared actions may be arbitrarily interleaved, a shared action must be executed at the same time by all processes that participate in the shared action.*



Modeling Interaction - Example

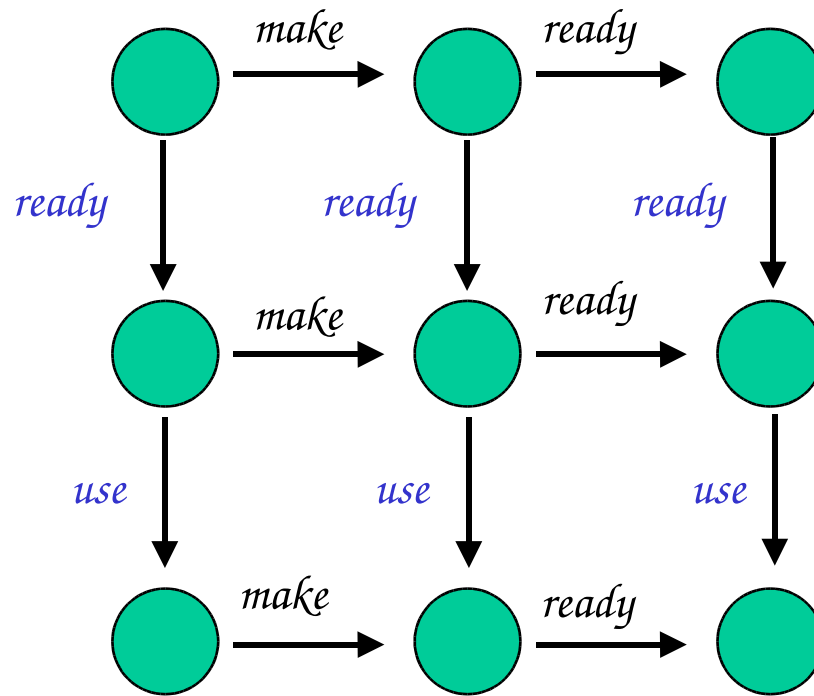
MAKE1 = (make-*→*ready-*→*STOP) .

USE1 = (*ready*-*→*use-*→*STOP) .

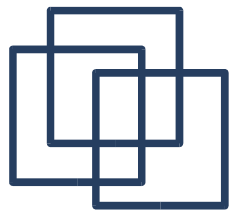
|| MAKE1_USE1 = (MAKE1 || USE1) .

3 states

3 states



3 x 3 states?



Modeling Interaction - Example

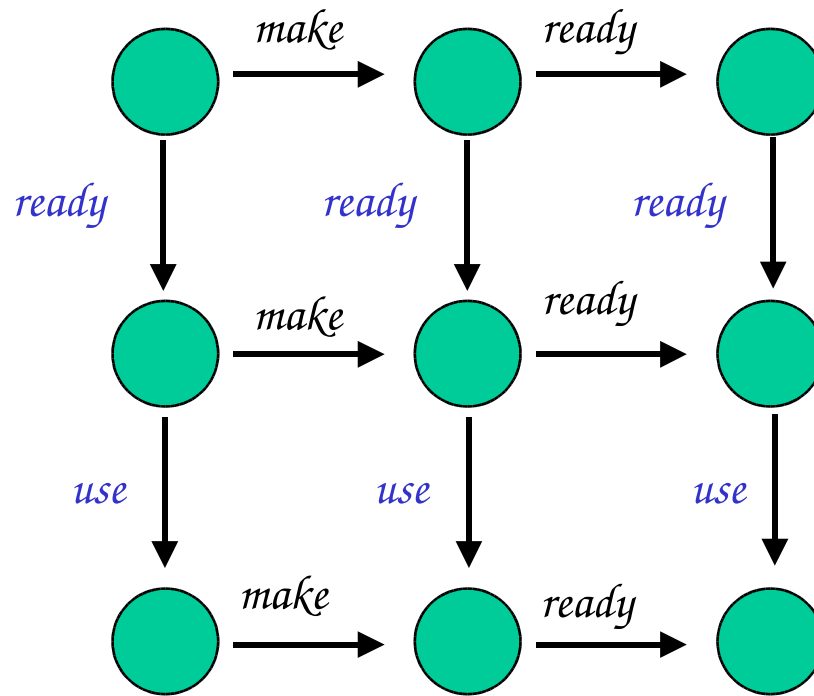
MAKE1 = (make-*→*ready-*→*STOP) .

USE1 = (ready-*→*use-*→*STOP) .

MAKE1_USE1 = (MAKE1 || USE1) .

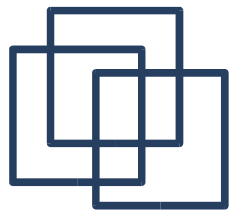
3 states

3 states



3 x 3 states?

No...!



Modeling Interaction - Example

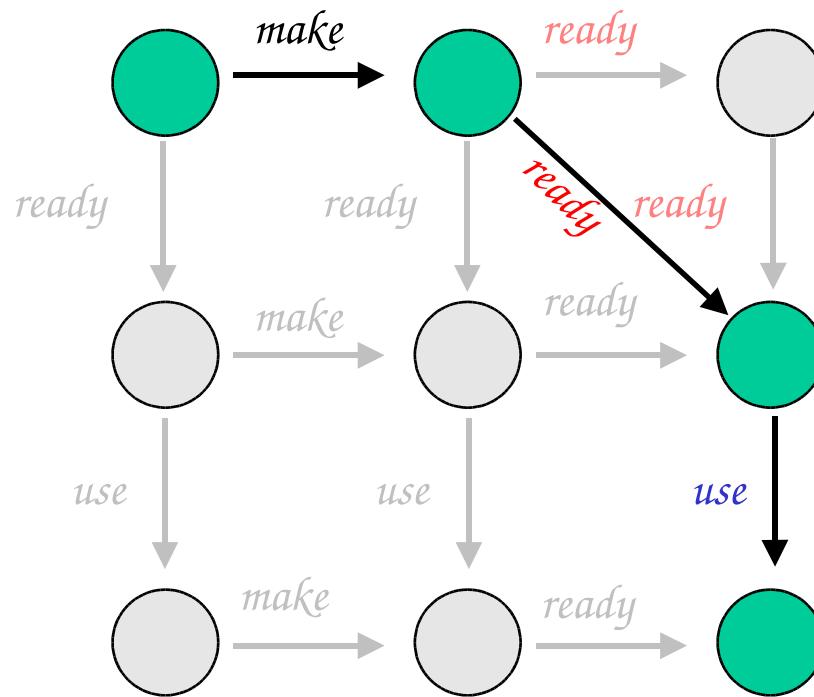
```
MAKE1 = (make->ready->STOP) .
```

```
USE1 = (ready->use->STOP) .
```

```
|| MAKE1_USE1 = (MAKE1 || USE1) .
```

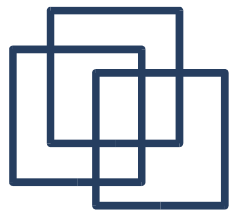
3 states

3 states



4 states!

Interaction constrains the overall behaviour.

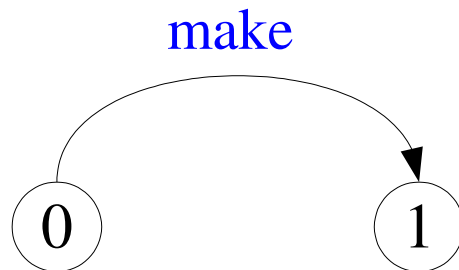


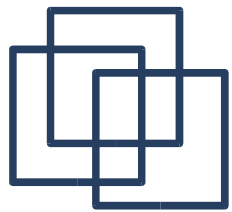
Modeling Interaction - Example

```
MAKER = (make->ready->MAKER) .
```

```
USER = (ready->use->USER) .
```

```
|| MAKER_USER = (MAKER || USER) .
```



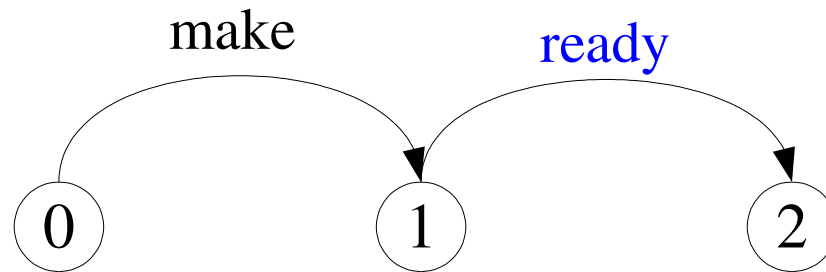


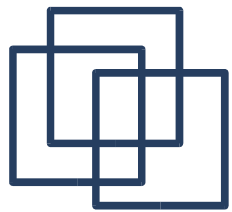
Modeling Interaction - Example

```
MAKER = (make->ready->MAKER) .
```

```
USER = (ready->use->USER) .
```

```
|| MAKER_USER = (MAKER || USER) .
```



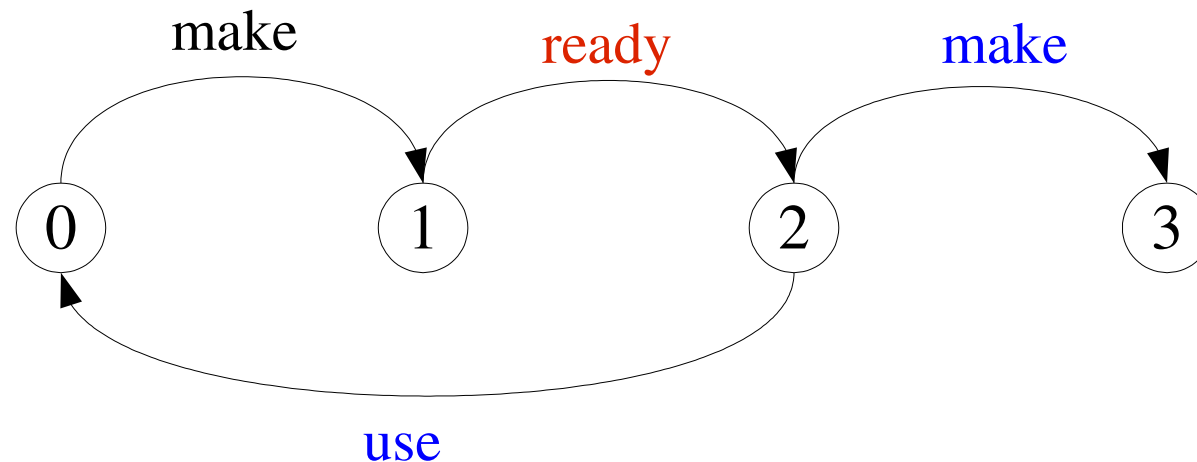


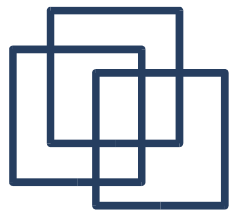
Modeling Interaction - Example

```
MAKER = (make->ready->MAKER) .
```

```
USER = (ready->use->USER) .
```

```
|| MAKER_USER = (MAKER || USER) .
```



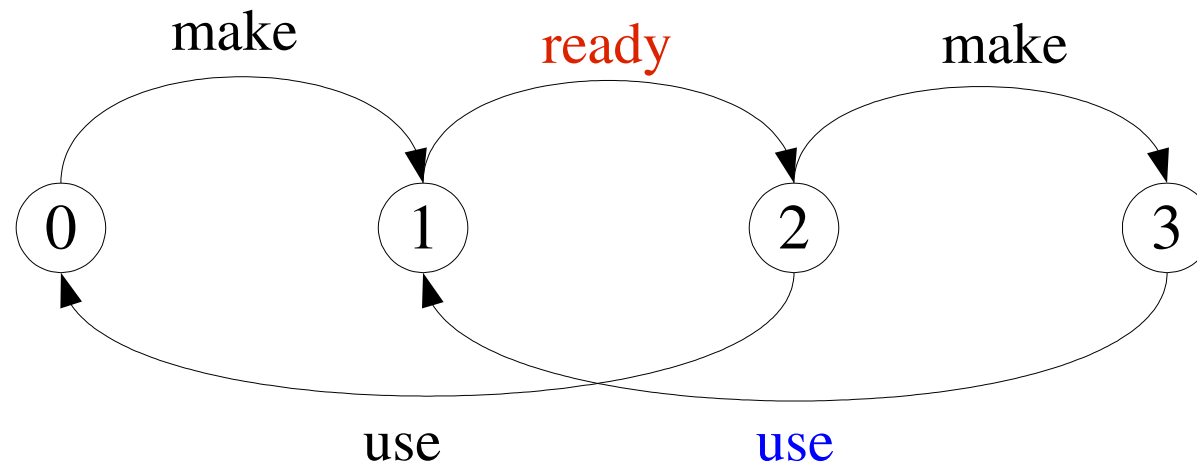


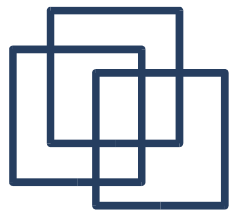
Modeling Interaction - Example

```
MAKER = (make->ready->MAKER) .
```

```
USER = (ready->use->USER) .
```

```
|| MAKER_USER = (MAKER || USER) .
```



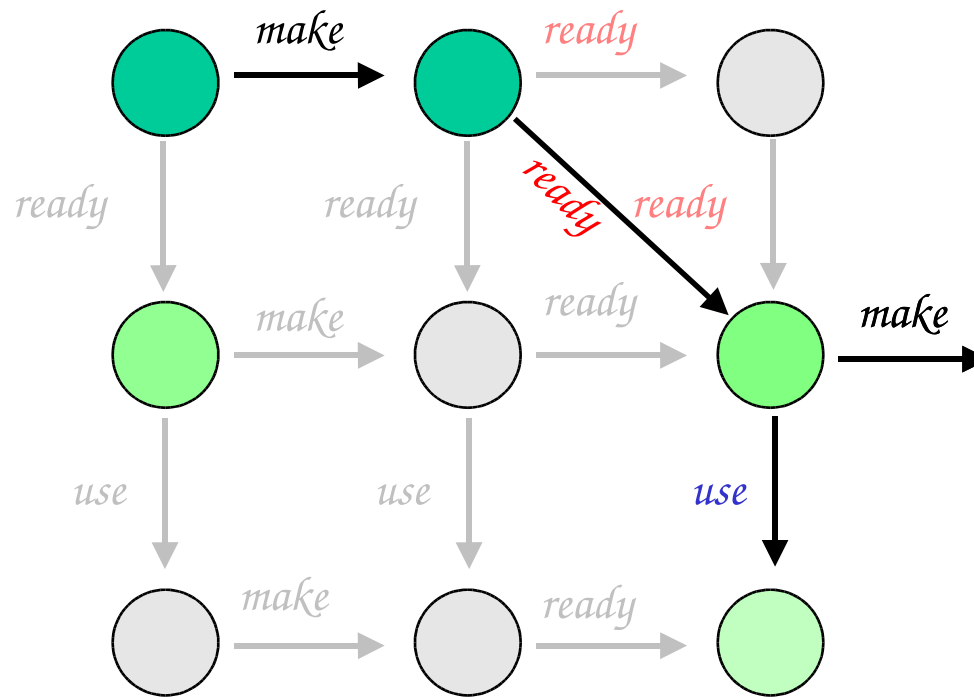


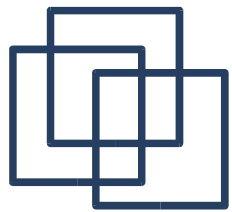
Modeling Interaction - Example

MAKER = (make-**ready**->MAKER) .

USER = (**ready**->use->USER) .

||MAKER_USER = (MAKER **||** USER) .



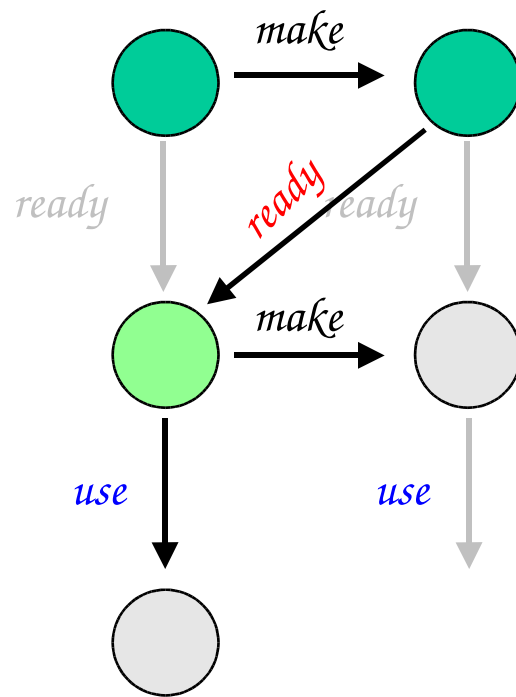


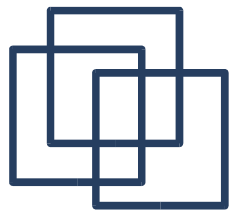
Modeling Interaction - Example

MAKER = (make->ready->MAKER) .

USER = (ready->use->USER) .

|| MAKER_USER = (MAKER || USER) .



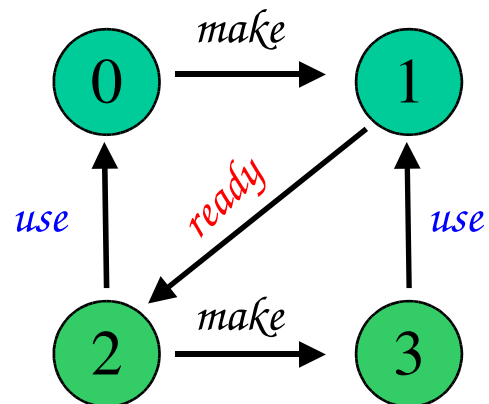


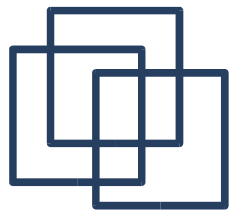
Modeling Interaction - Example

MAKER = (make-**ready**->MAKER) .

USER = (**ready**->use->USER) .

|| MAKER_USER = (MAKER || USER) .

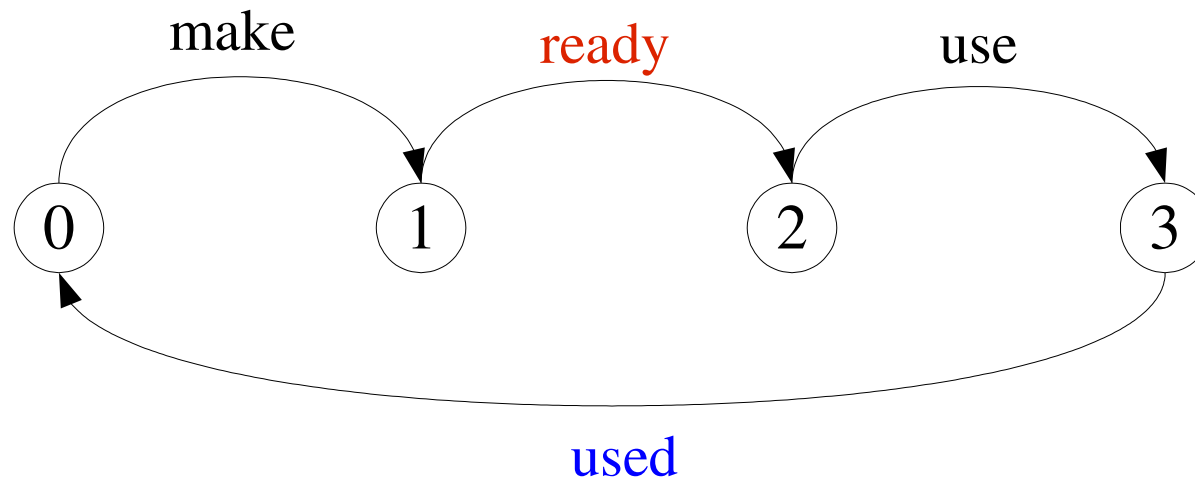


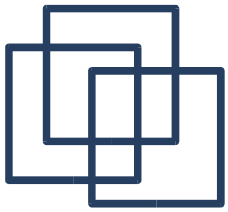


Modeling Interaction - Handshake

A handshake is an action acknowledged by another:

```
MAKERv2 = (make->ready->used->MAKERv2) .  
USERv2  = (ready->use->used->USERv2) .  
  
|| MAKER_USERv2 = (MAKERv2 || USERv2) .
```

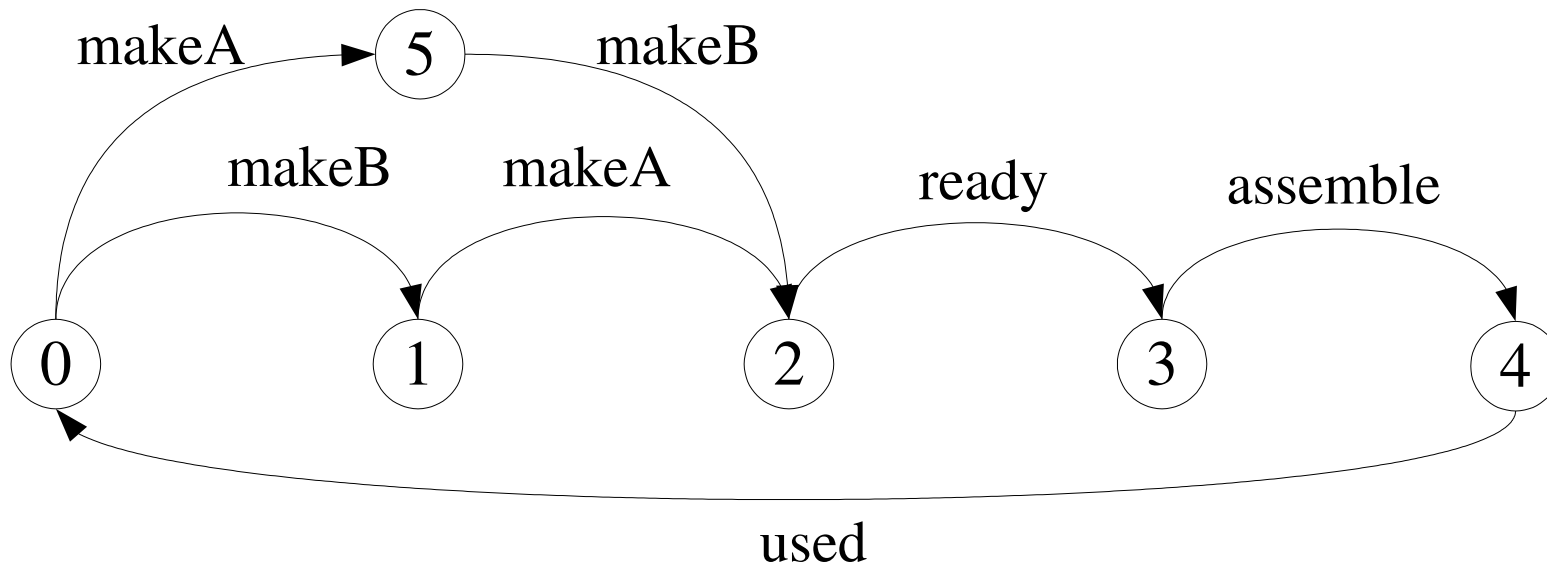


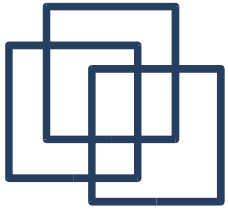


Modeling Interaction – Multiple Processes

Multi-party synchronization:

```
MAKE_A    = (makeA->ready->used->MAKE_A) .  
MAKE_B    = (makeB->ready->used->MAKE_B) .  
ASSEMBLE  = (ready->assemble->used->ASSEMBLE) .  
  
|| FACTORY = (MAKE_A || MAKE_B || ASSEMBLE) .
```





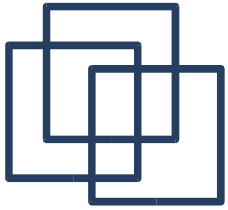
Composite Processes

A composite process is a parallel composition of primitive processes. These composite processes can be used in the definition of further compositions.

```
|| MAKERS = (MAKE_A || MAKE_B) .  
|| FACTORY = (MAKERS || ASSEMBLE) .
```

↓ *substitution of
def'n of MAKERS*

```
|| FACTORY = ((MAKE_A || MAKE_B) || ASSEMBLE) .
```



Composite Processes

A composite process is a parallel composition of primitive processes. These composite processes can be used in the definition of further compositions.

$$\begin{aligned} & \text{|| MAKERS} = (\text{MAKE_A} \text{ || } \text{MAKE_B}) . \\ & \text{|| FACTORY} = (\text{MAKERS} \text{ || } \text{ASSEMBLE}) . \end{aligned}$$

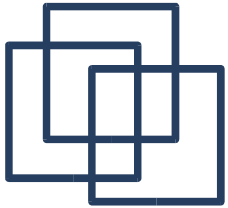
↓ *substitution of
def'n of MAKERS*

$$\text{|| FACTORY} = ((\text{MAKE_A} \text{ || } \text{MAKE_B}) \text{ || } \text{ASSEMBLE}) .$$

Further simplification?

↓ *associativity!*

$$\text{|| FACTORY} = (\text{MAKE_A} \text{ || } \text{MAKE_B} \text{ || } \text{ASSEMBLE}) .$$



Process Labeling

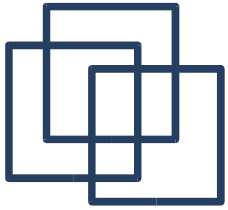
$a:P$ prefixes each action label in the alphabet of P with a .

Two **instances** of a switch process:

SWITCH = (on->off->SWITCH) .

||TWO_SWITCH = (**a**:SWITCH || **b**:SWITCH) .

LTS? (**a**:SWITCH)



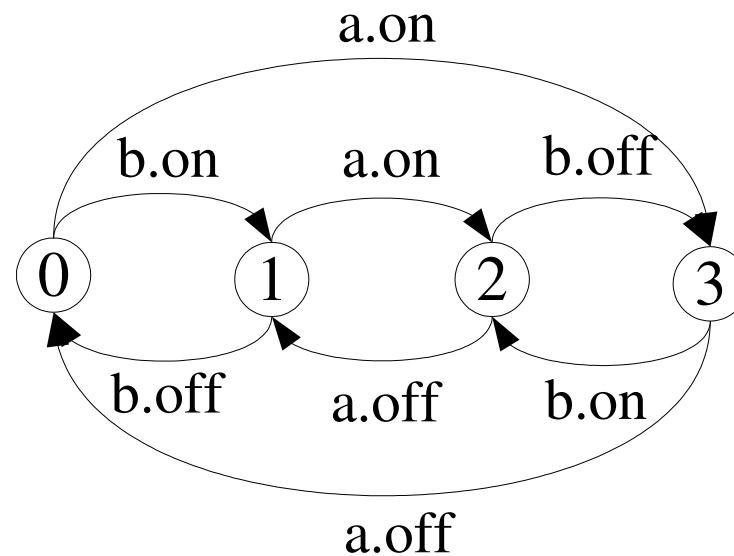
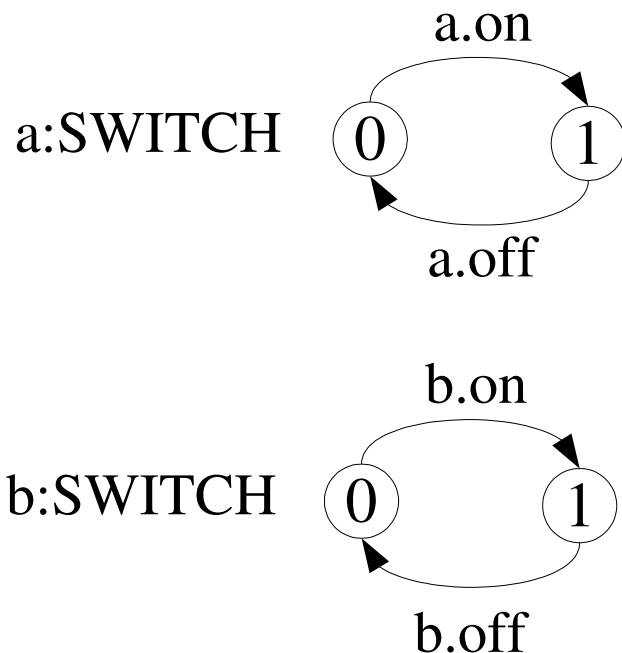
Process Labeling

$a:P$ prefixes each action label in the alphabet of P with a .

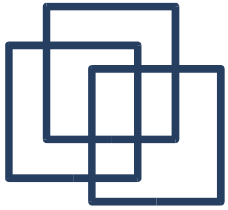
Two **instances** of a switch process:

$\text{SWITCH} = (\text{on} \rightarrow \text{off} \rightarrow \text{SWITCH})$.

$|| \text{TWO_SWITCH} = (a:\text{SWITCH} || b:\text{SWITCH})$.



	a	b
0:	off	off
1:	off	on
2:	on	on
3:	on	off



Process Labeling

$a:P$ *prefixes each action label in the alphabet of P with a .*

Two **instances** of a switch process:

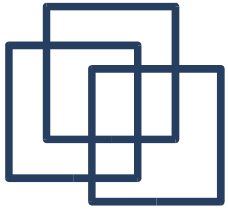
`SWITCH = (on->off->SWITCH) .`

`|| TWO_SWITCH = (a:SWITCH || b:SWITCH) .`

An array of **instances** of the switch process:

`|| SWITCHES(N=3) = (forall[i:1..N] s[i]:SWITCH) .`

`|| SWITCHES(N=3) = (s[i:1..N]:SWITCH) .`



Process Labeling by a Set of Prefix Labels

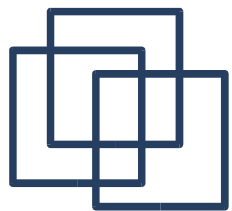
$\{a_1, \dots, a_n\} :: \mathcal{P}$ replaces every action label χ in the alphabet of \mathcal{P} with the labels $a_1.\chi, \dots, a_n.\chi$. Further, every transition $(\chi \rightarrow X)$ in the definition of \mathcal{P} is replaced with the transitions $(\{a_1.\chi, \dots, a_n.\chi\} \rightarrow X)$.

Process prefixing is useful for modeling **shared** resources:

```
USER      = (acquire -> use -> release -> USER) .
```

```
RESOURCE = (acquire -> release -> RESOURCE) .
```

```
|| RESOURCE_SHARE = (a:USER || b:USER ||  
                    {a,b} :: RESOURCE) .
```

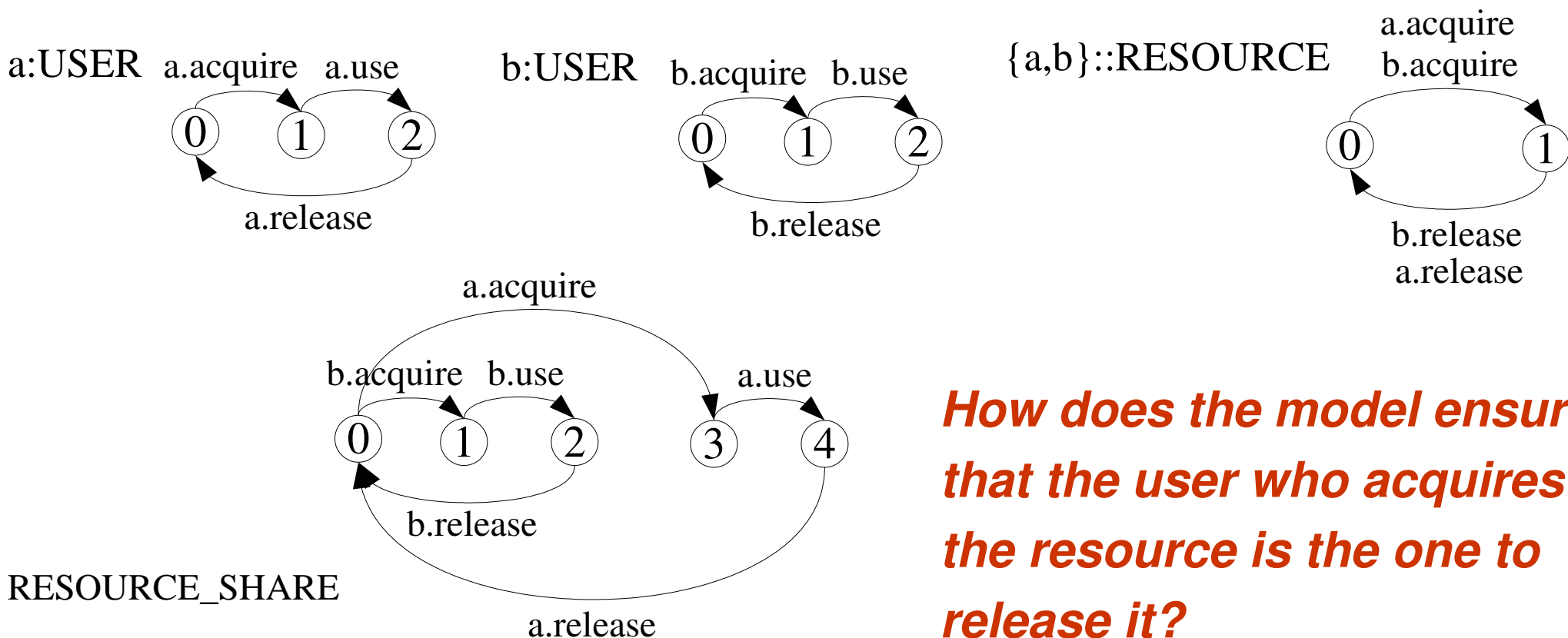


Process Prefix Labels for Shared Resources

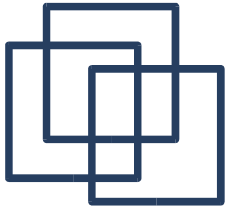
RESOURCE = (acquire->release->RESOURCE).

USER = (acquire->use->release->USER).

RESOURCE_SHARE = (a:USER || b:USER || {a,b}::RESOURCE).



How does the model ensure that the user who acquires the resource is the one to release it?



Action Relabeling

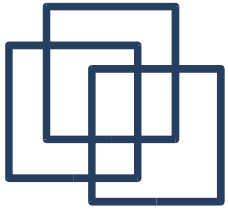
Relabeling functions are applied to processes to change the names of action labels. The general form of the relabeling function is:

/{newlabel₁/oldlabel₁,... newlabel_n/oldlabel_n}.

Relabeling to ensure that composed processes synchronize on particular actions:

```
CLIENT = (call->wait->continue->CLIENT).
```

```
SERVER = (request->service->reply->SERVER).
```



Action Relabeling

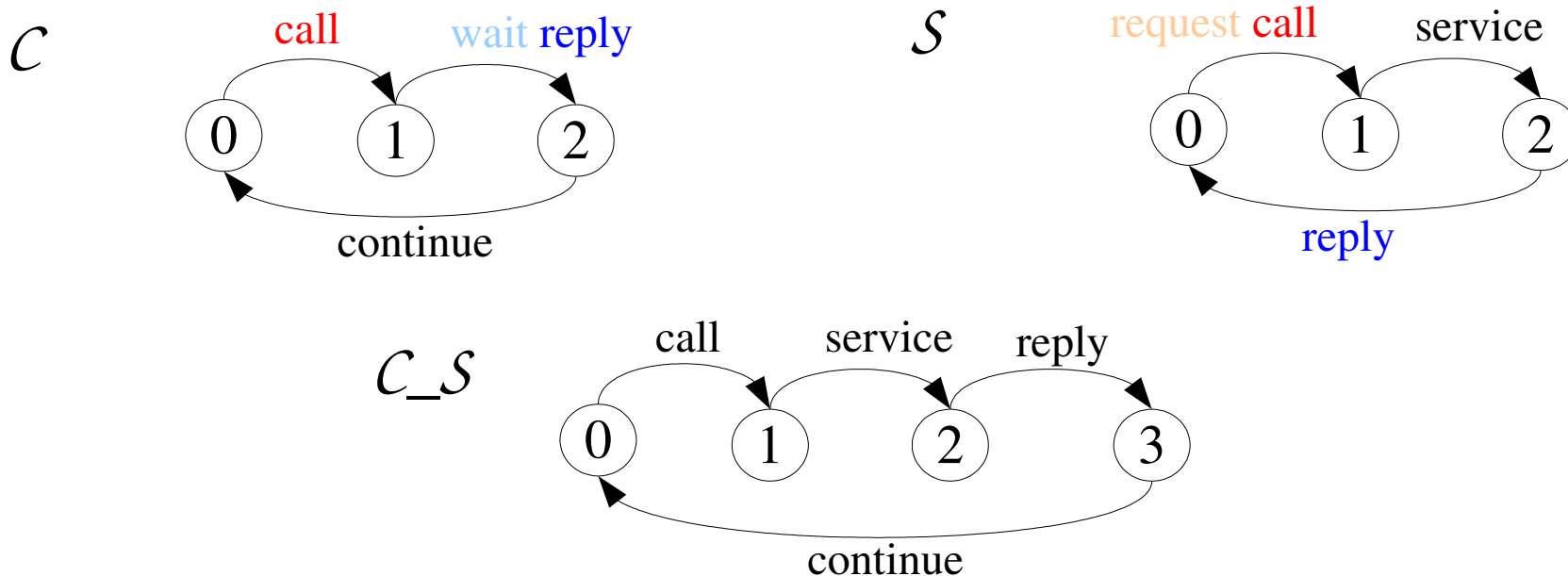
```
CLIENT = (call->wait->continue->CLIENT).
```

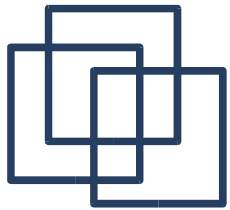
```
SERVER = (request->service->reply->SERVER).
```

```
C = (CLIENT / {reply/wait}).
```

```
S = (SERVER / {call/request}).
```

```
||C_S = (C || S).
```



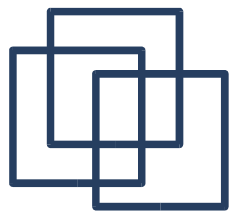


Action Relabeling – Prefix Labels

An alternative formulation of the client server system is described below using qualified or prefixed labels:

```
CLIENTv2 = (call.request
             ->call.reply->continue->CLIENTv2).
SERVERv2 = (accept.request
            ->service->accept.reply->SERVERv2).

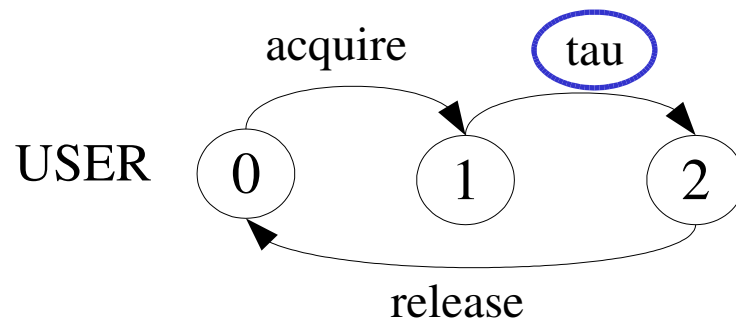
|| CLIENT_SERVERv2 = (CLIENTv2 || SERVERv2)
                    / {call/accept}.
```

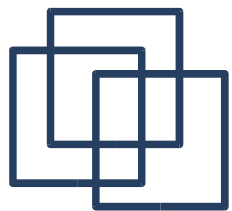


Action **Hiding** – Abstraction to Reduce Complexity

When applied to a process \mathcal{P} , the hiding operator $\backslash\{a1..ax\}$ removes the action names $a1..ax$ from the alphabet of \mathcal{P} and makes these concealed actions "silent". These silent actions are labeled **tau**. Silent actions in different processes are not shared.

USER = (acquire->use->release->USER)
 $\backslash\{use\}.$



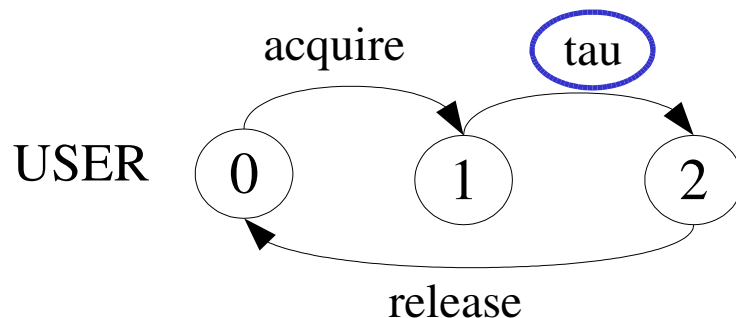


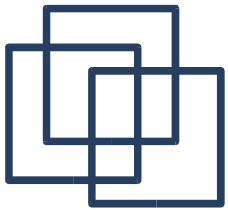
Action **Hiding** – Abstraction to Reduce Complexity

Sometimes it is more convenient to specify the set of labels to be *exposed*....

When applied to a process \mathcal{P} , the interface operator $@$ $\{a1..ax\}$ hides all actions in the alphabet of \mathcal{P} not labeled in the set $a1..ax$.

```
USER = (acquire->use->release->USER)
      @{acquire,release}.
```





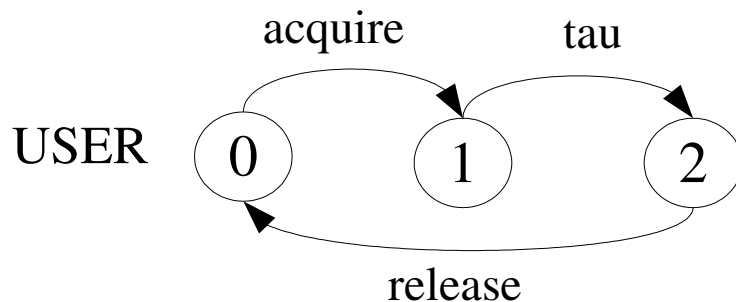
Action Hiding

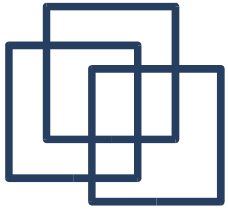
The following definitions are equivalent:

```
USER = (acquire->use->release->USER)
       \{use}.
```

```
USER = (acquire->use->release->USER)
       @{acquire,release}.
```

*Minimization removes hidden **tau** actions to produce an LTS with equivalent observable behaviour.*





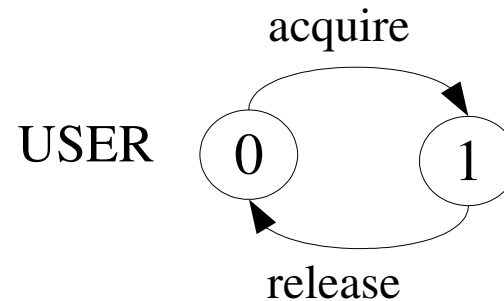
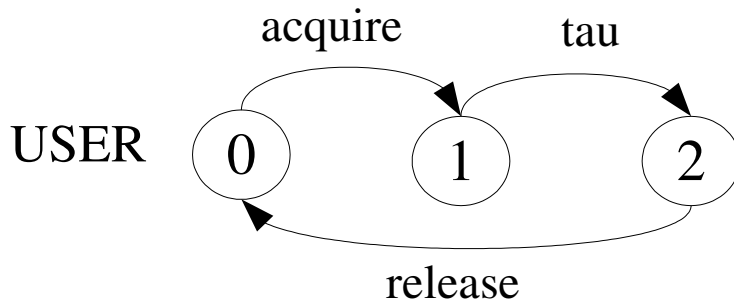
Action Hiding

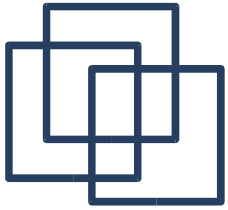
The following definitions are equivalent:

```
USER = (acquire->use->release->USER)
      \{use}.
```

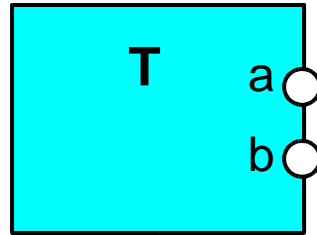
```
USER = (acquire->use->release->USER)
      @{acquire,release}.
```

*Minimization removes hidden **tau** actions to produce an LTS with equivalent observable behaviour.*

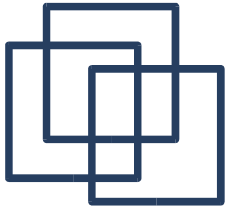




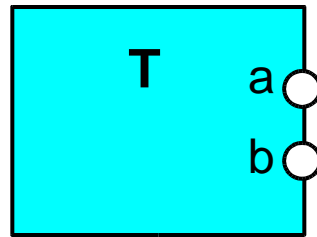
Structure Diagrams



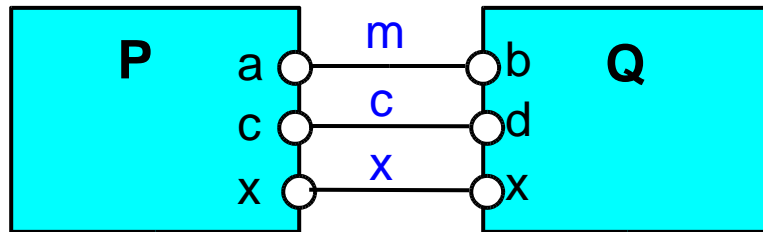
*Process T with
alphabet $\{a, b\}$.*



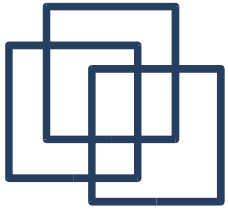
Structure Diagrams



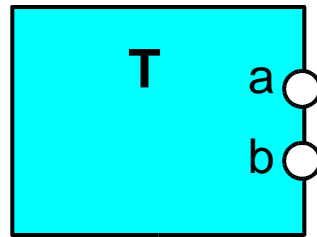
*Process T with
alphabet {a,b}.*



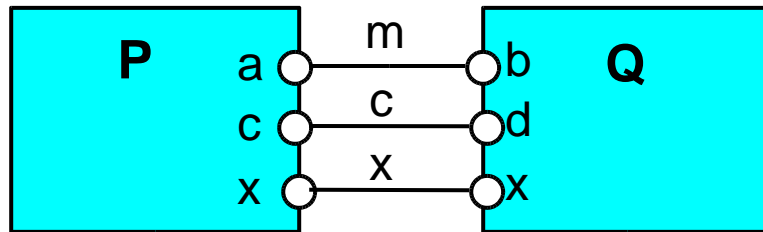
*Parallel Composition
 $(P \parallel Q) / \{m/a, m/b, c/d\}$*



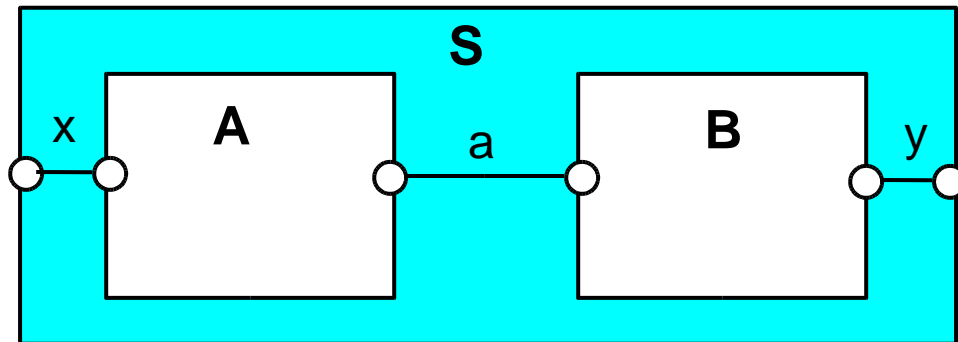
Structure Diagrams



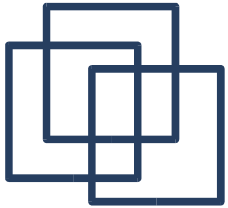
*Process T with
alphabet {a,b}.*



*Parallel Composition
 $(P \parallel Q) / \{m/a, m/b, c/d\}$*



*Composite process
 $\parallel S = (A \parallel B) @ \{x, y\}$*

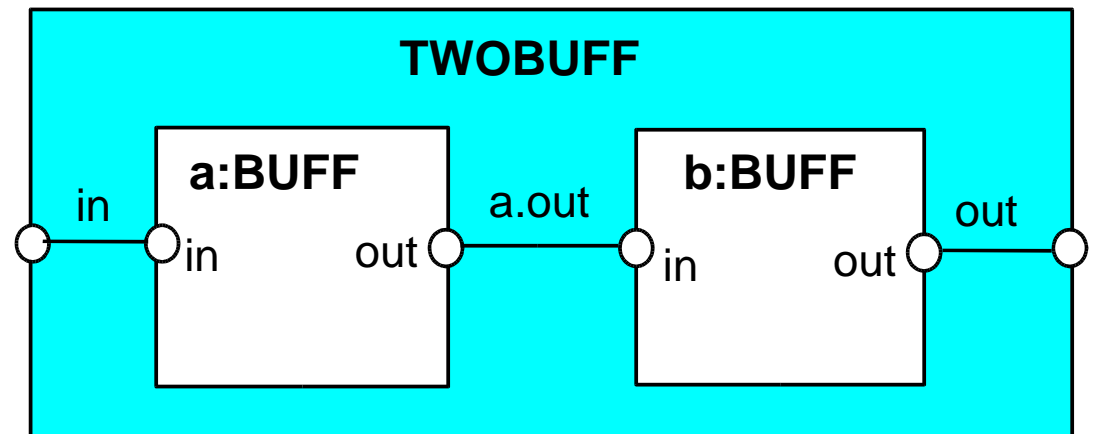


Structure Diagrams

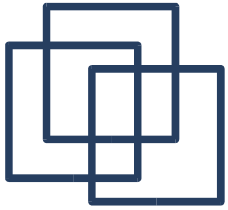
```
range T = 0..3
```

```
BUFF = (in[i:T]->out[i]->BUFF).
```

We use structure diagrams to capture the structure of a model expressed by the static combinators: parallel composition, relabeling and hiding.

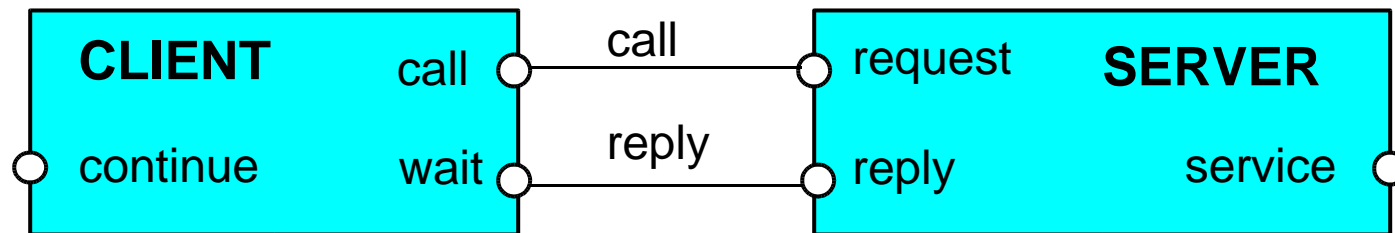


```
|| TWOBUFF = (a:BUFF || b:BUFF)
              /{in/a.in, a.out/b.in, out/b.out}
              @{in,out}.
```

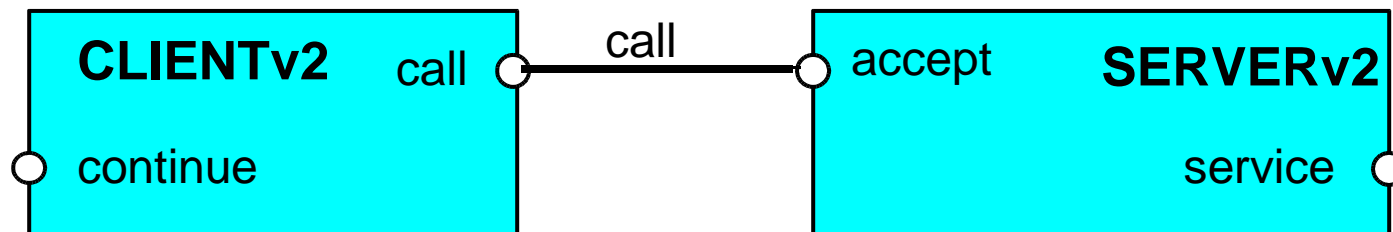


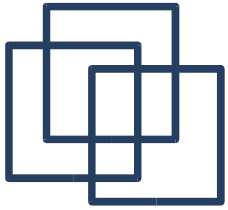
Structure Diagrams

Structure diagram for CLIENT_SERVER ?



Structure diagram for CLIENT_SERVERv2 ?



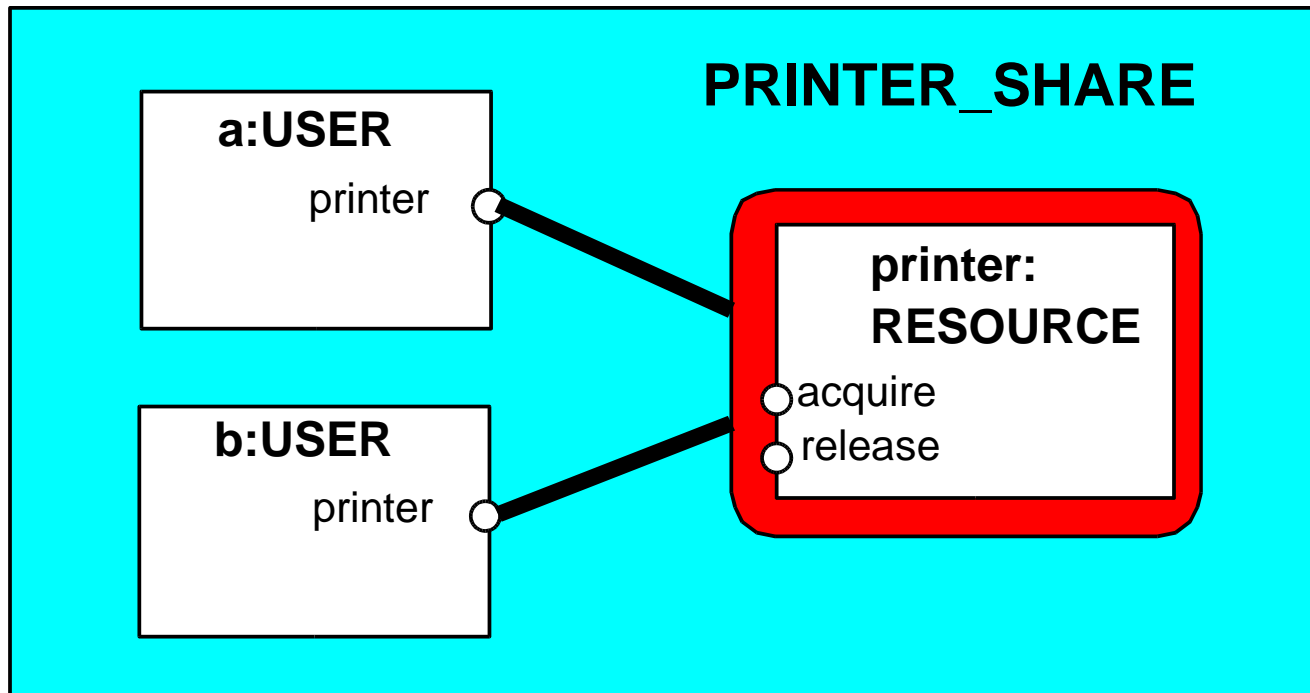


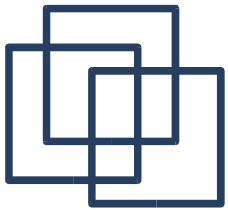
Structure Diagrams – Resource Sharing

```
RESOURCE = (acquire->release->RESOURCE).
```

```
USER      = (printer.acquire->use->printer.release->USER).
```

```
|| PRINTER_SHARE =  
  (a:USER || b:USER || {a,b}::printer:RESOURCE).
```





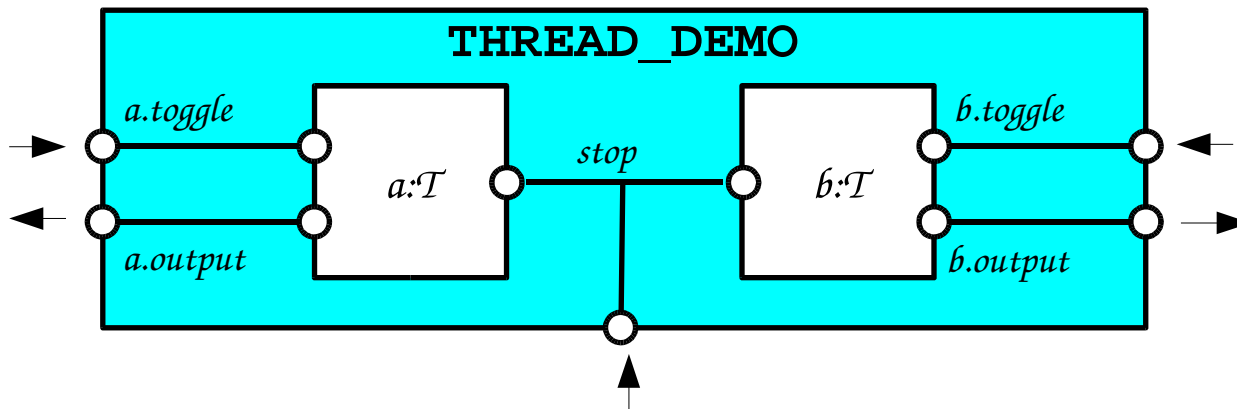
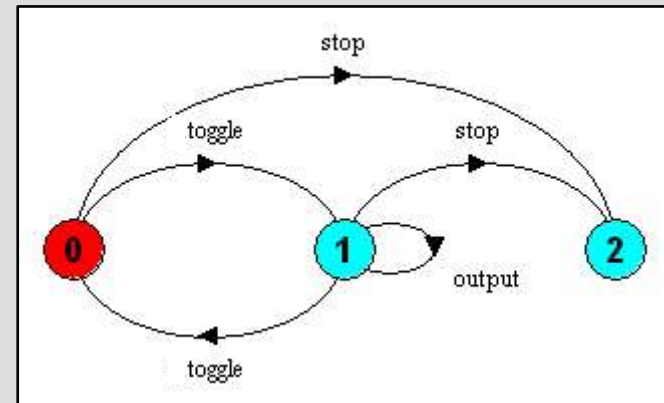
ThreadDemo Model

THREAD = OFF,

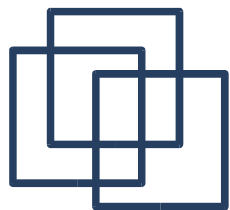
**OFF = (toggle->ON
| abort->STOP),**

**ON = (toggle->OFF
| output->ON
| abort->STOP).**

**|| THREAD_DEMO = (a:THREAD || b:THREAD)
/ { stop / { a,b }. abort } .**

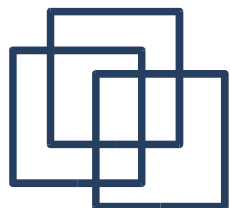


Interpret:
toggle,
abort
as inputs
output
as output



ThreadDemo Code: MyThread

```
class MyThread extends Thread {
    private boolean on;
    MyThread() {
        on = false;
    }
    void toggle() { on = !on; }
    void abort() { interrupt(); }
    private void output() { System.out.println("output"); }
    public void run() {
        try {
            while (true) {
                if (on) output();
                sleep(1000);
            }
        } catch (InterruptedException _) {
            System.out.println("Done!");
        }
    }
}
```

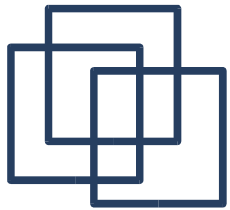


ThreadDemo Code: MyThread

```
class MyThread extends Thread {
    private boolean on;
    MyThread() {
        on = false;
    }
    void toggle() { on = !on; }
    void abort() { interrupt(); }
    private void output() { System.out.println("output"); }
    public void run() {
        try {
            while (true) {
                if (on) output();
                sleep(1000);
            }
        } catch (InterruptedException _) {
            System.out.println("Done!");
        }
    }
}
```

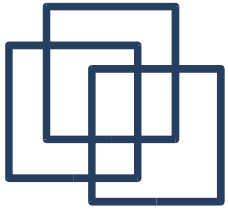
Interpret:
toggle,
abort
as inputs

output
as output



ThreadDemo Code: ThreadDemo

```
class ThreadDemo {
    private stop(MyThread a, MyThread b) {
        a.abort();
        b.abort();
    }
    public static void main(String[] args) {
        MyThread a = new MyThread();
        MyThread b = new MyThread();
        a.start(); b.start();
        while (true) {
            switch (readChar()) {
                case 'a': a.toggle(); break;
                case 'b': b.toggle(); break;
                case 'i': stop(a,b); return;
            }
        }
    }
}
```



Summary

- **Concepts:** concurrent processes and process interaction.
 - **Models:**
 - asynchronous (*arbitrary speed*) & interleaving (*arbitrary order*)
 - parallel composition (*finite state process with action interleaving*)
 - process interaction (*shared actions*)
 - process labeling, action relabeling, and hiding
 - structure diagrams
 - **Practice:** multiple threads in Java.
-